

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	Attorney's Docket No. NAI1P445/00.174.01	Application No. 09/785,240
	Applicant Matthew Thomas Hart, et al.	
	Filing Date February 20, 2001	Group Art Unit 2453 Confirmation No. 4408

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A1.	5,121,345	06-09-1992	Lentz			
	A2.	5,509,120	04-16-1996	Merkin et al.			
	A3.	5,619,648	04-08-1997	Canale et al.			
	A4.	5,623,600	04-22-1997	Ji et al.			
	A5.	5,765,028	06-09-1998	Gladden			
	A6.	5,805,911	09-08-1998	Miller			
	A7.	5,832,208	11-03-1998	Chen			
	A8.	5,845,285	12-07-1998	Klein			
	A9.	5,870,549	02-19-1999	Bobo, II			
	A10.	6,023,723	02-08-2000	McCormick et al.			
	A11.	6,047,277	04-04-2000	Parry et al.			
	A12.	6,052,709	04-18-2000	Paul			
	A13.	6,101,531	08-08-2000	Eggleston et al.			
	A14.	6,144,934	11-07-2000	Stockwell et al.			
	A15.	6,167,434	12-26-2000	Pang			
	A16.	6,189,002	02-13-2001	Roitblat			
	A17.	6,219,818	04-17-2001	Freivald			
	A18.	6,321,267	11-20-2001	Donaldson			
	A19.	6,330,590	12-11-2001	Cotten			
	A20.	6,356,935	03-12-2002	Gibbs			
	A21.	6,453,327	09-16-2002	Nielsen			
	A22.	6,609,081	08-19-2003	de Varennes et al.			
	A23.	6,609,205	08-19-2003	Bernhard et al.			
	A24.	6,650,890	11-18-2003	Irlam et al.			
	A25.	6,665,725	12-16-2003	Deitz et al.			
	A26.	6,675,162	01-06-2004	Russell-Falla et al.			

Examiner Signature /Azizul Choudhury/	Date Considered 12/14/2011
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AC/

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	Attorney's Docket No. NAI1P445/00.174.01	Application No. 09/785,240
	Applicant Matthew Thomas Hart, et al.	
	Filing Date February 20, 2001	Group Art Unit 2453 Confirmation No. 4408

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A27.	6,684,394	01-27-2004	Shann			
	A28.	6,687,740	02-03-2004	Gough et al.			
	A29.	6,691,156	02-10-2004	Drummond et al.			
	A30.	6,718,367	04-06-2004	Ayyadurai			
	A31.	6,732,157	05-04-2004	Gordon et al.			
	A32.	6,748,422	06-08-2004	Morin et al.			
	A33.	6,757,830	06-29-2004	Tarbotton et al.			
	A34.	6,769,016	07-27-2004	Rothwell et al.			
	A35.	6,769,016	07-27-2004	Rothwell et al.			
	A36.	6,772,196	08-03-2004	Kirsch et al.			
	A37.	6,772,292	08-03-2004	Garber et al.			
	A38.	6,802,012	10-05-2004	Smithson et al.			
	A39.	6,915,334	07-05-2005	Hall			
	A40.	7,007,080	02-28-2006	Wilson			
	A41.	7,016,939	03-21-2006	Rothwell et al.			
	A42.	7,047,297	05-16-2006	Huntington et al.			
	A43.	7,080,366	07-18-2006	Kramskoy et al.			
	A44.	7,103,645	09-05-2006	Leighton et al.			
	A45.	7,149,189	12-12-2006	Huntington et al.			
	A46.	7,162,698	01-09-2007	Huntington et al.			
	A47.	7,191,327	03-13-2007	Viljoen et al.			
	A48.	7,209,954	04-27-2007	Rothwell et al.			
	A49.	7,213,061	05-01-2007	Hite et al.			
	A50.	7,213,062	05-01-2007	Raciborski et al.			
	A51.	7,225,244	05-29-2007	Reynolds et al.			
	A52.	7,299,261	11-20-2007	Oliver et al.			

Examiner Signature /Azizul Choudhury/	Date Considered 12/14/2011
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	Attorney's Docket No. NAI1P445/00.174.01	Application No. 09/785,240
	Applicant Matthew Thomas Hart, et al.	
	Filing Date February 20, 2001	Group Art Unit 2453 Confirmation No. 4408

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A53.	7,386,889	06-10-2008	Shay			
	A54.	7,565,423	07-21-2009	Fredrickson			
	A55.	7,574,499	08-11-2009	Swildens et al.			
	A56.	7,590,684	09-15-2009	Herrmann			
	A57.	7,594,113	09-22-2009	Tan et al.			
	A58.	7,636,306	12-22-2009	Dougall et al.			
	A59.	7,647,376	01-12-2010	Jagger et al.			
	A60.	7,647,411	01-12-2010	Schiavone et al.			
	A61.	7,689,822	03-30-2010	Maggenti et al.			
	A62.	7,716,367	05-11-2010	Leighton et al.			
	A63.	7,725,602	05-25-2010	Liu et al.			
	A64.	7,774,843	08-10-2010	Prakash			
	A65.	7,873,695	01-18-2011	Clegg et al.			
	A66.	7,882,189	02-01-2011	Wilson et al.			
	A67.	7,917,588	03-29-2011	Clegg et al.			
	A68.	2002/0001307	01-03-2002	Nguyen et al.			
	A69.	2002/0016824	02-07-2002	Leeds			
	A70.	2002/0112227	08-15-2002	Kramskoy et al.			
	A71.	2002/0120705	08-29-2002	Schiavone et al.			
	A72.	2003/0087646	05-08-2003	Funato et al.			
	A73.	2003/0088627	05-08-2003	Rothwell et al.			
	A74.	2004/0177120	09-09-2004	Kirsch			
	A75.	2005/0015626	01-20-2005	Chasin			
	A76.	2005/0078088	04-14-2005	Davis et al.			
	A77.	2005/0097177	05-05-2005	McUmbert et al.			
	A78.	2005/0177752	08-11-2005	Hollander			

Examiner Signature /Azizul Choudhury/	Date Considered 12/14/2011
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	Attorney's Docket No. NAI1P445/00.174.01	Application No. 09/785,240
	Applicant Matthew Thomas Hart, et al.	
	Filing Date February 20, 2001	Group Art Unit 2453 Confirmation No. 4408

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A79.	2006/0085543	04-20-2006	Hrastar et al.			
	A80.	2006/0101273	05-11-2006	Tan et al.			
	A81.	2006/0149823	07-06-2006	Owen et al.			
	A82.	2007/0067682	03-22-2007	Fang			
	A83.	2007/0079379	04-05-2007	Sprosts et al.			
	A84.	2007/0083929	04-12-2007	Sprosts et al.			
	A85.	2007/0220607	09-20-2007	Sprosts et al.			
	A86.	2008/0140847	06-12-2008	Almog			
	A87.	2009/0262741	10-22-2009	Jungck et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	B1.	EP 0813162	12-17-1997	EO				
	B2.	GB 2396993	07-07-2004	GB				
	B3.	WO 03/010680	02-06-2003	WO				
	B4.	WO 98/37680	08-27-1998	WO				
	B5.	WO 99/67731	12-29-1999	WO				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	C1.	Tim Bass, Lt. Col. Glenn Watt, "A Simple Framework For Filtering Queued SMTP Mail", 1997, IEEE, pp. 1140-1144
	C2.	Keith C. Ivey, "Spam: The Plague of Junk E-Mail", Apr. 1998, EEI Communications, pp. 15-16 (Cited in U.S. Patent Application Serial No. 09/916,599)
	C3.	Harris Ducker, "Support Vector Machines for Spam Categorization", Sep. 1999, IEEE Transactions on Neural Networks, vol. 10, No. 5, pp. 1048-1054 (Cited in U.S. Patent Application Serial No. 09/916,599)
	C4.	DNSBL, http://en.wikipedia.org/wiki/DNSBL
	C5.	Rekhter, Y. et al., "Address Allocation For Private Internets," RFC 1918, February 1996

Examiner Signature /Azizul Choudhury/	Date Considered 12/14/2011
--	-------------------------------

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office		Attorney's Docket No. NAI1P445/00.174.01	Application No. 09/785,240
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Matthew Thomas Hart, et al.	
		Filing Date February 20, 2001	Group Art Unit 2453 Confirmation No. 4408
Other Documents (include Author, Title, Date, and Place of Publication)			
Examiner Initial	Desig. ID	Document	
	C6.	Ferguson, P. et al., "Network Ingress Filtering: Defeating Denial of Service Attacks Which Employ IP Source Address Spoofing," RFC 2827, May 2000	
	C7.	Callon, R. et al., "Guidelines for the Use of Internet IP-Addresses in the ISO Connectionless-Mode Network Protocol," RFC 1069, February 1989	
	C8.	Warnicke, E, "Suggested Scheme for DNS Resolution of Networks and Gateways," RFC 4183, September 2005.	
	C9.	Gansterer, W. et al., "Anti-Spam Methods," State-of-the-Art, March 2005, University of Vienna, Austria, pgs 1, 4-7, 19-21, 29-32	
	C10.	Lynn, C. et al. "X.509 Extensions for IP Addresses and AS Identifiers," RFC 3779, June 2004	
	C11.	International Search Report in PCT International Application No. PCT/US02/23811 mailed on 10-29-2002	
	C12.	PCT Written Opinion in International Application Serial No. PCT/US02/23811 mailed on 05-01-2003	
	C13.	International Preliminary Examination Report in PCT International Application No. PCT/US02/23811 completed on 07-09-2003	
	C14.	U.S. Patent Application Serial No. 09/916,599 filed on 07-26-2001	
	C15.	Non-Final Office Action in U.S. Patent Application Serial No. 09/916,599 mailed on 10-07-2004	
	C16.	Response to Non-Final Office Action dated 10-07-2001 in U.S. Patent Application Serial No. 09/916,599 filed on 10-21-2004	
	C17.	Non-Final Office Action in U.S. Patent Application Serial No. 09/916,599 mailed on 02-23-2005	
	C18.	Response to Non-Final Office Action dated 02-23-2005 in U.S. Patent Application Serial No. 09/916,599 filed on 03-30-2005	
	C19.	Notice of Allowance in U.S. Patent Application Serial No. 09/916,599 mailed on 06-10-2005	
	C20.	Notice of Allowance in U.S. Patent Application Serial No. 09/916,599 mailed on 09-23-2005	
	C21.	Non-Final Office Action in U.S. Patent Application Serial No. 11/095,146 mailed on 07-18-2006	
	C22.	Response to Non-Final Office Action dated 07-18-2006 in U.S. Patent Application Serial No. 11/095,146 filed on 11-20-2006	
	C23.	Notice of Allowance in U.S. Patent Application Serial No. 11/095,146 mailed on 12-15-2006	
	C24.	U.S. Patent Application Serial No. 10/072,708 filed on 02-05-2002	
	C25.	Non-Final Office Action in U.S. Patent Application Serial No. 10/072,708 mailed on 04-06-2005	
	C26.	Response to Non-Final Office Action dated 04-06-2005 in U.S. Patent Application Serial No. 10/072,708 filed on 05-04-2005	
	C27.	Non-Final Office Action in U.S. Patent Application Serial No. 10/072,708 mailed on 07-25-2008	
	C28.	Response to Non-Final Office Action dated 07-25-2008 in U.S. Patent Application Serial No. 10/072,708 filed on 08-30-2005	
Examiner Signature /Azizul Choudhury/		Date Considered 12/14/2011	
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	Attorney's Docket No. NAI1P445/00.174.01	Application No. 09/785,240
	Applicant Matthew Thomas Hart, et al.	
	Filing Date February 20, 2001	Group Art Unit 2453 Confirmation No. 4408

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	C29.	Non-Final Office Action in U.S. Patent Application Serial No. 10/072,708 mailed on 11-18-2005
	C30.	Response to Non-Final Office Action dated 11-18-2005 in U.S. Patent Application Serial No. 10/072,708 filed on 02-13-2006
	C31.	Final Office Action in U.S. Patent Application Serial No. 10/072,708 mailed on 05-03-2006
	C32.	Pre-Brief Conference Request and Notice of Appeal in U.S. Patent Application Serial No. 10/072,708 filed on 08-03-2006
	C33.	Supplemental Appeal Brief in U.S. Patent Application Serial No. 10/072,708 filed on 03-08-2007
	C34.	Examiner's Answer to Appeal Brief in U.S. Patent Application Serial No. 10/072,708 mailed on 07-02-2007
	C35.	Reply Brief in U.S. Patent Application Serial No. 10/072,708 filed on 09-04-2007
	C36.	BAPI Decision in U.S. Patent Application Serial No. 10/072,708 mailed on 02-26-2009
	C37.	Request for Continued Examination and Amendment in U.S. Patent Application Serial No. 10/072,708 mailed on 04-27-2009
	C38.	Non-Final Office Action in U.S. Patent Application Serial No. 10/072,708 mailed on 05-28-2009
	C39.	Response to Non-Final Office Action dated 05-28-2009 in U.S. Patent Application Serial No. 10/072,708 filed on 08-28-2009
	C40.	Notice of Allowance, Examiner Interview Summary, Notice of Allowability in U.S. Patent Application Serial No. 10/072,708 mailed on 11-02-2009
	C41.	U.S. Patent Application Serial No. 11/281,966 filed on 11-16-2005
	C42.	Non-Final Office Action in U.S. Patent Application Serial No. 11/281,966 mailed on 09-18-2009
	C43.	Response to Non-Final Office Action dated 09-18-2009 in U.S. Patent Application Serial No. 11/281,966 filed on 12-18-2009
	C44.	Notice of Allowance in U.S. Patent Application Serial No. 11/281,966 mailed on 04-01-2010
	C45.	Non-Final Office Action in U.S. Patent Application Serial No. 11/351,840 mailed on 06-11-2009
	C46.	Response Non-Final Office Action dated 09-11-2009 in U.S. Patent Application Serial No. 11/351,840 filed on 09-11-2009
	C47.	Final Office Action in U.S. Patent Application Serial No. 11/351,840 mailed on 01-06-2010
	C48.	Response Final Office Action dated 01-06-2010 in U.S. Patent Application Serial No. 11/351,840 filed on 03-08-2010
	C49.	Advisory Action in in U.S. Patent Application Serial No. 11/351,840 mailed on 03-25-2010
	C50.	Request for Continued Examination and Amendment in U.S. Patent Application Serial No. 11/351,840 filed on 04-06-2010
	C51.	Non-Final Office Action in U.S. Patent Application Serial No. 11/351,840 mailed on 06-23-2010

Examiner Signature /Azizul Choudhury/	Date Considered 12/14/2011
--	-------------------------------

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AC/

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office		Attorney's Docket No. NAI1P445/00.174.01	Application No. 09/785,240
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Matthew Thomas Hart, et al.	
		Filing Date February 20, 2001	Group Art Unit 2453 Confirmation No. 4408
Other Documents (include Author, Title, Date, and Place of Publication)			
Examiner Initial	Desig. ID	Document	
	C52.	Response Non-Final Office Action dated 06-23-2010 in U.S. Patent Application Serial No. 11/351,840 filed on 11-23-2010	
	C53.	Final Office Action in U.S. Patent Application Serial No. 11/351,840 mailed on 02-16-2011	
	C54.	Response Final Office Action dated 02-16-2011 in U.S. Patent Application Serial No. 11/351,840 filed on 04-18-2011	
	C55.	Examiner Interview Summary in U.S. Patent Application Serial No. 11/351,840 mailed on 04-28-2011	
	C56.	Advisory Action in in U.S. Patent Application Serial No. 11/351,840 mailed on 05-05-2011	
	C57.	Request for Continued Examination and Amendment in U.S. Patent Application Serial No. 11/351,840 filed on 05-16-2011	
	C58.	Non-Final Office Action in U.S. Patent Application Serial No. 11/351,840 mailed on 7-22-2011	
	C59.	Response to Non-Final Office Action dated 7-22-2011 in U.S. Patent Application Serial No. 11/351,840 filed on 10-24-2011	
	C60.	U.S. Patent Application Serial No. 10/318,620 filed on 12-13-2002	
	C61.	Image File Wrapper including all Office Actions and Responses in U.S. Patent Application Serial No. 10/318,620	

Examiner Signature /Azizul Choudhury/	Date Considered 12/14/2011
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	